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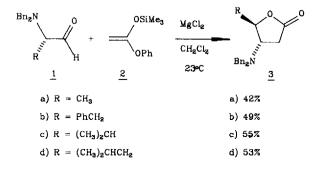
## TANDEM ALDOLIZATION/LACTONIZATION/DYOTROPIC REARRANGEMENT OF $\alpha$ -AMINO-ALDEHYDES

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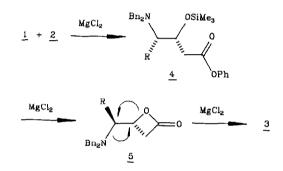
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<u>Abstract:</u> N,N-Dibenzyl-protected  $\alpha$ -amino-aldehydes <u>1</u> undergo non-chelationcontrolled aldol additions of 1-phenoxy-1-trimethylsiloxyethylene <u>2</u> followed by  $\beta$ -lactone formation and dyotropic rearrangement, all three reactions being catalyzed by MgCl<sub>2</sub>. The products, 4-substituted 3-amino- $\gamma$ -lactones <u>3</u>, are stereochemically pure (de and ee > 99 %).

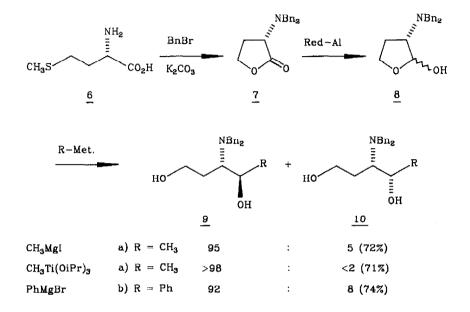
We have previously shown that  $\alpha$ -N,N-dibenzylamino aldehydes <u>1</u>, prepared from the corresponding amino acids, undergo non-chelation-controlled additions of RMgX, RLi, Li-enolates and Me<sub>3</sub>SiCN/ZnX<sub>2</sub><sup>1</sup>). All of the presently known examples constitute effective "protective group tuning", since singly protected analogs (BOC, 9-phenyl-9-fluorenyl, etc) generally deliver mixtures of adducts<sup>2</sup>). Indeed, aldehydes <u>1</u> have since been employed by several other groups in similar reactions<sup>3</sup>). In some cases reversal of diastereoselectivity has been achieved using strongly Lewis acidic reagents<sup>1</sup>). In this communication we report some unexpected results obtained upon reacting the aldehydes <u>1</u> with the O-silylketene ketal <u>2</u> in the presence of MgCl<sub>2</sub>:



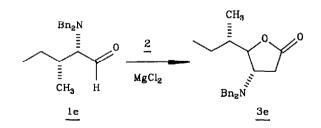
In hope of performing a group transfer Mukaiyama-type aldol addition, we reacted 2 (which is known not to rearrange to the unreactive C-silylated isomer)<sup>4</sup>) with 1 in the presence of MgCl<sub>2</sub>. The main products turned out not to be the expected aldol adducts 4 (or their chelation-controlled diastereomers), but the 3-amino  $\gamma$ -lactones 3. We interpret this interesting transformation as a process involving three tandem MgCl<sub>2</sub>-mediated reactions: 1) Non-chelation-controlled aldol addition to 4; 2) Lactonization to 5; and 3) Stereospecific dyotropic rearrangement <sup>5)</sup> to the final diastereo- and enantiomerically pure products 3. MgX<sub>2</sub>-catalyzed dyotropic rearrangements of  $\beta$ -lactones have been previously described by Mulzer<sup>6)</sup> in other situations and applied by Black<sup>7)</sup>.



Conversion of 1 to 3 amounts to > 75 %, the yields of analytically pure products following chromatography being 40-55 %<sup>8)</sup>. The major side products are the aldol adducts 4 (10 - 20 %), but the diastereomers of 4 could not be detected. Isolated 4a undergoes MgCl2-mediated transformation to 3a. The assignment of the relative configuration in <u>3</u> was made on the basis of NOE experiments (trans arrangement of the substituents at the chiral centers of the lactone ring). The absolute configuration was ascertained as follows, which also supports the above conclusion regarding the relative configuration: Protection and lactonization of L-methionine  $6^{9}$  afforded the  $\alpha$ -amino lactone 7, which was reduced to the lactol 8. Addition of two (or CH<sub>3</sub>Ti(OiPr)3<sup>10)</sup>) or PhMgBr yielded the amino equivalents of CH<sub>2</sub>MgI diols <u>9</u> stereoselectively<sup>11)</sup>. This stereoselectivity is expected on the basis of non-chelation-control in the reaction of the intermediate  $\alpha$ -amino aldehyde<sup>1)</sup>, a conclusion which was corroborated by an X-ray analysis of adduct  $9b^{12}$ . Finally, lactone <u>3a</u> was reacted with LiAlH<sub>4</sub>, affording a single product <u>9a</u> ( $[\alpha]_D^{24} = 1.0$ ; c = 0.13, CH<sub>3</sub>OH) which was enantiomerically pure as shown by control experiments. Accordingly, this diol was doubly esterified using the+"Mosher-Chloride"  $^{13}$ , which provided a single diastereomer (NMR, HPLC). This esterification was also performed on <u>9a</u> obtained from <u>6</u>, a process which led to the same diester<sup>14</sup>). Since the absolute configuration of  $\underline{c}$  methionine is as shown in <u>6</u>, the relative and absolute configurations of <u>3</u> are established unequivocally.



In a final experiment, we treated the amino aldehyde <u>le</u> derived from Lisoleucine with <u>2</u>. In the crude product we detected <u>3e</u> as the only  $\gamma$ lactone (75 %) present, which was isolated in pure form (40 %). The results show that no epimerization in the aldehyde <u>le</u> occurs, in line with the observed absence of racemization of <u>la-d</u> during formation or reaction.



3-Amino  $\gamma$ -lactones are of interest as unusual natural<sup>15</sup>) and nonproteinogenic amino acid derivatives and as precursors for amino sugars such as ristosamine<sup>16</sup>). Acknowledgement: This work was supported by the Deutsche Forschungsgemeinschaft (SFB 260 and Leibniz-Programm).

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- $\beta$ -Lactone formation is accompanied by the generation of silylated phenol 8. (a new type of lactonization mediated by MgCl<sub>2</sub> which we are currently studying in the case of larger lactones). Typical procedure: The mixture of 2 mmol of an aldehyde <u>1</u> and 2 mmol of dry MgCl<sub>2</sub> in 10 ml of dry  $CH_2Cl_2$  is stirred under an at mosphere of nitrogen at room temperature for 30 min. before being cooled to -20°C. 1-Phenoxy-1-trimethylsiloxy-ethylene (3 mmol) is added and the cooling removed. After stirring for 48 h, the mixture is treated with 10 % citric acid (5ml), the aqueous phase extracted with 10 ml ether, and the combined org. phases diluted with 20 ml of ether. After treating three times with 4 % NaOH and washing with saturated NaCl, the solution is dried over Na2SO4. Upon removal of the solvent, the crude product is chromatographed over silica gel (pet ether/ethyl ester, 4:1).
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